



THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Tanya L. Swarts et al.

Appln. No. : 10/760,099

Filed : January 16, 2004

For : METADATA DRIVEN CUSTOMIZATION  
OF A SOFTWARE-IMPLEMENTED  
BUSINESS PROCESS

Docket No.: M61.12-0571

Group Art Unit: 2162

Examiner: Shahid Al Alam

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS  
BEING SENT BY U.S. MAIL, FIRST CLASS,  
TO THE COMMISSIONER FOR PATENTS,  
P.O. BOX 1450, ALEXANDRIA, VA 22313-  
1450, THIS

16<sup>74</sup> DAY OF April, 2007.

PATENT ATTORNEY

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

**TIME OF FILING**

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. ☐ 1. Within three month of the filing date of a national application other than a Continued Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international application;
3. Before the mailing date of a first Office Action on the merits, or
4. Before the mailing of a first Office Action after the filing of a Request for Continued Examination (RCE).

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2. ☐ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).  
B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. ☒ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:

- A. ☒ a statement as specified in 37 C.F.R. § 1.97(e); and  
B. ☒ the petition fee set forth in 37 C.F.R. § 1.17(p).

### STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

- ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

- ☒ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

- ☐ No fee is required.
- ☒ Attached is form PTO-2038 in the amount of \$180.00.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

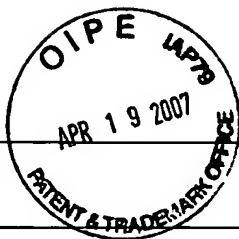
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By: 

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Enclosures

The PTO did not receive the following  
listed item(s) the credit card  
form.



FORM PTO-1449	Atty. Docket No.: <b>M61.12-0571</b>	Appl. No.: <b>10/760,099</b>
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	<b>Tanya L. Swarts et al.</b>	
	Filing Date	Group Art:
	<b>January 16, 2004</b>	<b>2162</b>

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	20020054750	05-2002	Ficco et al.	386	46	
AB	20030079132	04-2003	Bryant	713	182	
AC	20030159136	08-2003	Huang et al.	717	171	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.